

Implementation Rules for Self-discipline of Academic research ethics, National Taipei University of Education

Approved by the 140th Administrative Meeting on April 26, 2017

Amended and Approved by the 145th Administrative Meeting on September 27, 2017

1. The Implementation Rules for Self-discipline of Academic research ethics (the Rules) are established by National Taipei University of Education (NTUE) to improve the self-discipline of teachers, students, and researchers (including postdoctoral researchers and full-time/part-time assistants participating in research projects) in academic research and to avoid disputes over academic research ethics.
2. Teachers, students and researchers of NTUE shall abide by the following self-discipline regulations:
 - (1) Researchers shall ensure the honesty, responsibility, professionalism, objectivity, conscientiousness, impartiality in the course of research (including conception, execution, and presentation) and respect the object of research to avoid conflicts of interests.
 - (2) The following that in violation of academic research ethics shall be prohibited:
 - i. Fraud: Make up inexistent application documents, research materials or research results.
 - ii. Alteration: Alter application documents, research materials or research results falsely.
 - iii. Plagiarism: Invoke others' application documents, research materials or research results without specifying sources. If a source is specified improperly, which is of a serious nature, it shall be considered plagiarism.
 - iv. Written by others ◦
 - v. Repeated publication without specifying sources.
 - vi. Invoke own published works significantly without proper citation.

- vii. Replace the works with translation without proper citation.
- viii. A teacher's qualification resume and proof of co-authors are falsely specified; the representative work does not specify co-authors; there is lack of the proof of co-authors.
- ix. An applicant or a person authorized by the applicant requests, lobby, induce, threaten, or otherwise interfere with the reviewer or review procedures, or an applicant affects the review procedures in an illegal or improper way.
- x. Other acts in violation of academic research ethics.

(3) Objectively collect and analyze research materials without faking or tampering or selectively processing materials or data.

(4) Researchers shall record research materials completely and retain the original materials properly within a period of time.

(5) Indicate the contributions of others: If quoting others' materials or arguments, researchers shall respect intellectual property rights by specifying the source to avoid others from overestimating their own creation or contribution. If others' materials or arguments are quoted with unspecified sources, it shall be considered plagiarism.

(6) Avoid self-plagiarism.

(7) Avoid contributing the same thesis for many times.

(8) Co-authors shall be listed based on the substantial contribution to the thesis and held accountable for the contents of the thesis to the extent appropriate.

3. Regulations of academic research ethics and management mechanisms:

(1) To maintain the rights and interests of teachers' promotion and evaluation in accordance with the regulations of academic research ethics, works related to teachers' promotion and rewards shall be verified via the originality system. The thesis or dissertation of a graduate shall be verified via the originality system in accordance with the NTUE's Implementation Rules for Master and Doctoral Degree

Examination.

(2) Specialized works, works, proof of achievement and technical reports related to teachers' promotion, evaluation, and rewards shall be made public (available in National Central Library or school libraries) as promulgated by Ministry of Education. If specialized works, works, proof of achievement and technical reports are not available, the information on archives in National Central Library or school libraries sent by the publisher shall be provided for public access to the full contents or the link of bibliographic information; the review opinions shall be also provided for review.

(3) To improve the cognition and attitude of teachers, researchers, and graduates with regard to academic research ethics, NTUE has set up the mechanisms as follows:

1. New teachers shall obtain the certificate of attendance from Center for Taiwan Academic Research Ethics Education or participate in the external course on academic research ethics for 6 hours or more within one year from the date of engagement. If new teachers execute research projects, they shall meet the subsidizing institution's requirements for training with regard to academic research ethics.
2. Researchers (including postdoctoral researchers and full-time/part-time assistants) engaged for research projects shall obtain the certificate of attendance from Center for Taiwan Academic Research Ethics Education or participate in the external course on academic research ethics for 6 hours or more within three months from the date of engagement.
3. Before applying for the thesis examination, graduates of master and doctoral programs (including in-service master programs) enrolling from 2018 (inclusive) shall learn academic research ethics from the website of Center for Taiwan Academic Research Ethics Education and obtain the

certificate of attendance.

4. Teachers who are involved in violation of academic research ethics shall be handled in accordance with the Accreditation Regulations Governing Teacher Qualifications at Institutions of Higher Education promulgated by Ministry of Education and NTUE' s Regulations Governing the Employment and Promotion of Full-time Teachers, Regulations Governing Teachers and Researchers Violating Academic Research Ethics and Teacher Qualifications, and Regulations Governing the Employment of Full-time Teachers. Postdoctoral researchers and full-time/part-time assistants who are involved in violation of academic research ethics shall be handled in accordance with NTUE' s Regulations Governing Teachers and Researchers Violating Academic Research Ethics and Teacher Qualifications. Students who are involved in violation of academic research ethics shall be handled in accordance with NTUE' s Regulations Governing the Handling of Students Violating Academic Research Ethics.
5. If full-time teachers, researchers, and students are considered in violation of academic research ethics, which leads to claims made by a subsidizing institution or a third party or other damage to NTUE, full-time teachers, researchers, and students shall solely assume the liability for damages.
6. For matters not specified here, refer to related laws and regulations.
7. The Rules shall be implemented upon approval by the administrative meeting and the President.